



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/617,828

Confirmation No. 5222

Applicant : A. NAGASAKA et al.

Filed : July 14, 2003

Titled : PERSONAL AUTHENTICATION DEVICE

TC/AU : 2185

Examiner : TBD

Docket No. : ASA-1141

Customer No.: 24956

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination on the merits, Applicants respectfully request that the application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper; and

Remarks begin on page 9 of this paper.

10/06/2005 SZEWDIE1 00000119 10617828

01 FC:1201
02 FC:1202

200.00 OP
200.00 OP



IFW

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$ 530.00

| Complete if Known | |
|----------------------|---------------|
| Application Number | 10/617,828 |
| Filing Date | July 14, 2003 |
| First Named Inventor | A. NAGASAKA |
| Attorney Docket No. | ASA-1141 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit Account Number: 50-1417

Deposit Account Name: MATTINGLY, STANGER & MALUR, P.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|------------------------|----------|
| 1011 | 2011 | 150 | Utility filing fee | |
| 1012 | 2012 | 105 | Design filing fee | |
| 1013 | 2013 | 100 | Plant filing fee | |
| 1014 | 2014 | 150 | Reissue filing fee | |
| 1005 | 2005 | 100 | Provisional filing fee | |
| 1111 | 2111 | 250 | Utility Search fee | |
| 1112 | 2112 | 50 | Design Search fee | |
| 1113 | 2113 | 150 | Plant Search fee | |
| 1114 | 2114 | 250 | Reissue Search fee | |
| 1311 | 2311 | 100 | Utility Ex. fee | |
| 1312 | 2312 | 65 | Design Ex. fee | |
| 1313 | 2313 | 80 | Plant Ex. fee | |
| 1314 | 2314 | 300 | Reissue Ex. fee | |
| SUBTOTAL (1) | | | | 0.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | | Fee from below | | Fee Paid |
|--------------------|----------|--------------|----------------|--|----------|
| Extra Claims | | | | | |
| Total Claims | 24 | -20 = 4 | x 50 | | = 200.00 |
| Indep. Claims | 4 | -3 = 1 | x 200 | | = 200.00 |
| Multiple Dependent | | | 360 | | = 0.00 |
| Large Entity | | Small Entity | | Fee Description | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 50 | 2202 | 25 | Claims in excess of 20 | |
| 1201 | 200 | 2201 | 100 | Independent claims in excess of 3 | |
| 1203 | 360 | 2203 | 180 | Multiple dependent claim, if not paid | |
| 1204 | 200 | 2204 | 100 | ** Reissue independent claims over original patent | |
| 1205 | 50 | 2205 | 25 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) \$ | | | | 400.00 | |

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
|---|-----------------------|----------|---|-------------------|
| 1051 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 1053 | 130 | Non-English specification | |
| 1081 | 2081 | 125 | Utility Application Size fee - for each 50 over 100 | |
| 1082 | 2082 | 125 | Design Application Size fee - for each 50 over 100 | |
| 1812 | 2520 | | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* Requesting publication of SIR prior to Examination action | |
| 1805 | 1,840 | 1805 | 1,840* Requesting publication of SIR after Examiner action | |
| 1251 | 120 | 2251 | 60 Extension for reply within first month | |
| 1252 | 450 | 2252 | 225 Extension for reply within second month | |
| 1253 | 1020 | 2253 | 510 Extension for reply within third month | |
| 1254 | 1,590 | 2254 | 795 Extension for reply within fourth month | |
| 1255 | 2,160 | 2255 | 1,080 Extension for reply within fifth month | |
| 1401 | 500 | 2401 | 250 Notice of Appeal | |
| 1402 | 500 | 2402 | 250 Filing a brief in support of an appeal | |
| 1403 | 1000 | 2403 | 500 Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 Petition to institute a public use proceeding | |
| 1452 | 500 | 2452 | 250 Petition to revive - unavoidable | |
| 1453 | 1,500 | 2453 | 750 Petition to revive - unintentional | |
| 1501 | 1,400 | 2501 | 700 Utility issue fee (or reissue) | |
| 1502 | 800 | 2502 | 400 Design issue fee | |
| 1503 | 1,100 | 2503 | 550 Plant issue fee | |
| 1460 | 130 | 1460 | 130 Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 Recording each patent assignment per property (times number of properties) | |
| 1809 | 790 | 2809 | 395 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 790 | 2810 | 395 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 790 | 2801 | 395 Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 Request for expedited examination of a design application. | |
| Other fee (specify) 1464 PETITION TO MAKE SPECIAL | | | | 130 |
| *Reduced by Basic Filing Fee Paid | | | | SUBTOTAL (3) (\$) |
| | | | | 130.00 |

SUBMITTED BY

| Name (Print/Type) | | Registration No. (Attorney/Agent) | | Telephone | |
|-------------------|--|-----------------------------------|--|-----------------|--|
| Colin D. Barnitz | | 35,061 | | (703) 684-1120 | |
| Signature | | Date | | October 5, 2005 | |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public (such as a fee) and by the USPTO to process an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is designed to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-6188 and select option